

Notaria Bartumeu

IDENTIFICATION AND KNOW YOUR CLIENT QUESTIONNAIRE FOR A PHYSICAL PERSON

Collection of data in accordance with the Law 14/2017, of 22 June, on the prevention and fight against money laundering or values, the financing of terrorism, and concordant regulations.

Date: _____

Initial relationship: _____

Update/revision: _____

1. BASIC INFORMATION ABOUT THE CLIENT 1*

FULL NAME: _____

PASSPORT: _____

DATE OF BIRTH: _____

NATIONALITY: _____

COUNTRY OF RESIDENCE: _____

CIVIL AND MATRIMONIAL STATUS: _____

ADDRESS: _____

CITY AND PC: _____

PARISH: _____

CONTACT TELEPHONE: _____

CONTACT E-MAIL: _____

2. BASIC INFORMATION ABOUT THE CLIENT 2*

FULL NAME: _____

PASSPORT: _____

DATE OF BIRTH: _____

NATIONALITY: _____

COUNTRY OF RESIDENCE: _____

CIVIL AND MATRIMONIAL STATUS: _____

ADDRESS: _____

CITY AND PC: _____

PARISH: _____

CONTACT TELEPHONE: _____

CONTACT E-MAIL: _____

3. DECLARATION OF POLITICALLY EXPOSED PERSON*

The clients, or one of their close family members and persons recognised as close, some person who holds, or has held in the last 12 months, the condition of public representative or senior officer of the public authorities in Andorra, member states of the European Union or third countries?¹

NO YES Full name and post held: _____

1 Definition of PEP as in Article 3 Law 14/2017, of 22 June, of prevention and combating money-laundering or securities and the financing of terrorism.

4. DESCRIPTION OF THE OPERATION*

Nature of the operation:

- Buying, selling or other acts of disposition of immovable property or entities.
- Handling of funds, securities or other assets of the clients.
- Opening or managing bank accounts, savings accounts or securities accounts.
- Organisation of the necessary contributions for the creation, functioning or management of companies.
- Creation, or management of trusts, companies, associations, foundations or similar structures.
- Others: _____

Brief details of the operation (property acquired, amount, etc.):

5. DECLARATION OF ORIGIN OF THE FUNDS*

State below the origin of the funds that are the object of the operation:

- Own activity Sale of property An inheritance:
- Others:

Are the funds to be invested in the operation owned by the above-mentioned parties or do they belong to physical or legal third persons?

- Property of the acting parties Property of third parties. Reason _____

Do the own funds used to perform the operation originate in Andorra?

- YES NO Country of origin: _____

6. DECLARATION OF ACTIVITY OF CLIENT 1*

Professional or business activity of client 1:

Employed Self-employed

Name of company: _____

Purpose of company: _____

Profession/Post held: _____

Length of employment: _____

No professional or business activity:

Retired Pensioner (invalidity, etc.) Unemployed Housework Student Private income

7. DECLARATION OF ACTIVITY OF CLIENT 2*

Professional or business activity of client 2:

Employed Self-employed

Name of company: _____

Purpose of company: _____

Profession/Post held: _____

Length of employment: _____

No professional or business activity:

Retired Pensioner (invalidity, etc.) Unemployed Housework Student Private income

I hereby state:

- That all the information supplied in this form is true and accurate and I authorise the Notary's office to verify and, if appropriate, amplify this information.
- That I am not and have not been a party in any procedure of tax checking or inspection; if this is or has been the case I provide all the documentation relating thereto.
- That the funds that I may use to complete any transaction as well as the origin of the funds of my assets are totally legitimate.

LEGAL NOTICE:

In accordance with the provisions of Law 29/2021, of 28 October, of protection of personal data (published in BOPA number 119, of 17 November 2021) I INFORM the party before me, who accepts, that his or her personal data, as well as those of the Company that he or she represents, will be included in a data file in this Notary's office, where they will be kept confidentially without prejudice to obligatory submissions in accordance with current regulations.

This Notary's office, being subject to the amended text of Law 14/2017, of 22 June, of prevention and combating money-laundering or securities and the financing of terrorism, has the obligations detailed below among others:

1. To identify and verify the identity of its clients.
2. To identify the effective beneficiaries and validate their identity.
3. To evaluate, understand and obtain information about the purpose and nature of the business relationship.

Signature of the client(s):

I _____ on _____ 20____
n _____